

DOCKET NO.: MSFT-0125/154375.01

PATENT

2132

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of:

Marco DeMello, et al.

Confirmation No.: 5208

OCT 13 2004

Application No.: 09/604,946

Group Art Unit: 2132

Technology Center 2100

Filing Date: June 27, 2000

Examiner: Mcardle, Joseph M

For: **SYSTEM AND METHOD FOR ACCESSING PROTECTED CONTENT IN A RIGHTS-MANAGEMENT ARCHITECTURE**

DATE OF DEPOSIT: 10-7-04

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

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01 FC:1806

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☒ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **31 thru 86** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.


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**PATENT**

There are no listed references which are not in the English language.

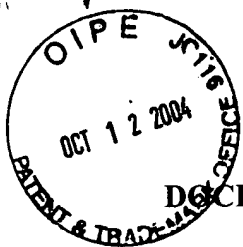
Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 10-7-09

  
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TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

COMMUNICATION

- ☒ Applicant wishes to advise the Examiner of certain co-pending applications with regard to the present application. In particular, the following eleven (11) applications, nos. 09/604,564, 09/604,944, 09/604,939, 09/604,946, 09/604,174, 09/604,636, 09/604,540, 09/604,222, 09/604,541, 09/604,518, 09/604,543, were all filed on June 27, 2000 and are presently pending. These applications share either substantially identical specifications or overlapping material, and also have overlapping (but non-identical) sets of inventors.


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Of the eleven (11) applications listed above, three of the applications (nos. **09/604,540**, **09/604,946**, **09/604,944**) each claim priority to two provisional applications (60/172,318 and 60/172,319) filed on December 17, 1999.

Additionally, at present each of the following application nos.: **10/858,890** (filed June 2, 2004); **10/871,739** (filed June 18, 2004); and attorney docket no. **MSFT-4470/154575.05** (filed September 17, 2004); is a pending child of one of the above-mentioned cases.

Date: *10-7-04*

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-0125/154575.01</b>	Application No. <b>09/604,946</b>
	Applicant <b>Marco DeMello, et al.</b>	
	Filing Date <b>June 27, 2000</b>	Group <b>2132</b>
	Confirmation No. <b>5208</b>	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

31	Jaeger, T., "Flexible Control of Downloaded Executable Content", <i>ACM Transactions on Information and System Security</i> , 1999, 2(2), 177-228

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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	32	2002/0002611 A1	01/03/02	Vange, Mark	709	223
	33	5,809,145	09/15/98	Slik, et al.	380	25
	34	5,883,955	03/16/99	Ronning	380	4
	35	6,088,717	07/11/00	Reed, et al.	709	201
	36	6,226,618 B1	05/01/01	Downs, et al.	705	1
	37	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	38	6,425,017 B1	07/23/02	Dievendorff, et al.	709	315
	39	6,606,604 B1	08/12/03	Dutta, Rabindranath	705	26
	40	6,629,138 B1	09/30/03	Lambert, et al.	709	224
	41	6,718,361 B1	04/06/04	Basani, et al.	709	201

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	42	EP 0 795 809 A2	09/17/97	EPO		
	43	WO 00/08909	02/24/00	PCT		
	44	WO 00/21239	04/13/00	PCT		
	45	WO 00/75760 A1	12/14/00	PCT		
	46	WO 99/63416	12/09/99	PCT		

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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	47	2001/0011238 A1	08/02/01	Eberhard, et al.	705	27
	48	5,222,134	06/22/93	Waite, et al.	380	4
	49	5,509,070	04/16/96	Schull	380	4
	50	5,708,780	01/13/98	Levergood, et al.	395	200.12
	51	5,734,823	03/31/98	Saigh, et al.	395	200.06
	52	5,734,891	03/31/98	Saigh	395	610
	53	5,970,475	10/19/99	Barnes, et al.	705	27
	54	6,006,332	12/21/99	Rabne, et al.	713	201
	55	6,021,492	02/01/00	May, Gregory J.	713	200
	56	6,298,446 B1	10/02/01	Schreiber, et al.	713	201

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	57	WO 96/42041	12/27/96	PCT		
	58	WO 98/44402	10/08/98	PCT		
	59	WO 98/45768	10/15/98	PCT		
	60	WO 98/58306	12/23/98	PCT		
	61	WO 99/45491	09/10/99	PCT		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	<b>62</b>	2002/0069265 A1	06/06/02	Bountour, et al.	709	219
	<b>63</b>	4,924,378	05/08/90	Hershey, et al.	713	201
	<b>64</b>	5,654,746	08/05/97	McMullan, Jr. et al.	725	29
	<b>65</b>	5,666,411	09/09/97	McCarty, Johnnie C	705	51
	<b>66</b>	5,742,677	04/21/98	Pinder, et al.	380	242
	<b>67</b>	5,784,609	07/21/98	Kurihara, A.	707	9
	<b>68</b>	5,983,273	11/09/99	White, et al.	709	229
	<b>69</b>	5,991,402	11/23/99	Jia, et al.	705	59
	<b>70</b>	6,029,046	02/22/00	Khan, et al.	725	31
	<b>71</b>	6,035,403	03/07/00	Subbiah, et al.	713	201
	<b>72</b>	6,067,582	05/23/00	Smith, et al.	710	5

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	73	5,530,235	06/25/96	Stefik, et al.	235	492
	74	5,625,693	04/29/97	Rohatgi, et al.	380	23
	75	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	76	6,331,865 B1	12/18/01	Sachs, et al.	345	776
	77	5,359,659	10/25/94	Rosenthal	380	4
	78	5,991,399	11/23/99	Graunke, et al.	380	4
	79	6,049,612	04/11/00	Fiedler, et al.	380	44
	80	4,668,169	08/18/87	Joshi, Bhagirath S.	364	200
	81	6,449,645 B1	09/10/02	Nash, Kenneth L	709	224
	82	5,765,152	06/09/98	Erickson, J.S.	707	9

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	83	WO 99/01815	01/14/99	PCT		
	84	WO 99/26123	05/27/99	PCT		

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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	<b>85</b>	Shamir, A. et al., "Playing Hide and Seek with Stored Keys", <i>Third International Conference, 1999</i> , franklin, M. (ed), 118-124
	<b>86</b>	Auchsmith, D., "Tamper Resistant Software: An Implementation", <i>First International Workshop</i> , Anderson, Ross (ed., ) 1996, 317-333
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>